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PTO/SB/05 (11-00)

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**UTILITY PATENT
APPLICATION AND FEE
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 510015-269

First Inventor Larry Coldren

Title A METHOD FOR APERTURING VERTICAL-CAVITY SURFACE-EMITTING LASERS (VSCELs)

Express Mail Label No. EL585710191US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 22
_ Cover sheet
- Descriptive title of the invention
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 8
5. ☒ Combined Declaration
& Power of Attorney Total Pages 6
a. ☒ Newly Executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table, or
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if
applicable, all necessary)
a. ☐ Computer Readable form
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R
(2 copies); or
ii. ☐ Statements verifying
identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents)
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. ☒ Nonpublication Request Under
35 U.S.C. 122(b)(2)(B)(i)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : _____
Prior application information: Examiner: _____ Group Art Unit: _____

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ New correspondence address below

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Attn: Charles Berman

Attorney Docket No. 20595-15
FEE CALCULATION

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20 - 66	46	\$ 18.00	\$828.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	9	6	\$ 80.00	\$480.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$.00	
				BASIC FEE (37 CFR 1.16(a))	\$710.00
				Total of above Calculations =	\$2018.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				1009.00
				TOTAL =	\$1009.00

6. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
 - b. ☒ Fees required under 37 CFR 1.17.
 - c. ☐ Fees required under 37 CFR 1.18.
- ☒ A check in the amount of \$1009.00 is enclosed.
- ☒ A check in the amount of \$ 40.00 for Assignment recordation is enclosed

Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

Express Mailing Label No. EL585710191US
I hereby certify that on **August 21, 2001**, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.
Valerie Mata
Valerie Mata

Dated: August 21, 2001

Marc Bobys

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